



PATENT

Attorney Docket No. 0320-0018 (HOOV 118)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven, et al.

Serial No.: 10/015,862

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE
WITH SPRING LOADED JAWS

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1. Transmittal Form PTO/SB/21 (1 sheet);
2. Request for Continued Examination (RCE) Form PTO/SB/30 and Information Disclosure Statement (8 sheets total) together with a copy of 1 reference;
3. Fee Transmittal Form PTO/SB/17 (in duplicate, 2 sheets total);
4. \$375.00 RCE Check Fee;
5. Certificate of Mailing (1 sheet); and
6. Return Receipt Postcard.

Name: May I. Casimiro

Signature: May I. Casimiro



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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/015,862
Filing Date	December 12, 2001
First Named Inventor	Michael D. Hooven
Group Art Unit	3739
Examiner Name	Rosiland S. Kearney
Attorney Docket Number	0320-0018 (HOOV 118)

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Second Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - Certificate of Mailing
 - Return Receipt Postcard
 - Request for Continued Examination
 - 1 Document - U.S. 2002/0091384
 - Check for \$375.00

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357)
Signature	<i>Gary W. McFarron</i>
Date	September 10, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as ☒ Express mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 10, 2003

Typed or printed name	May I. Casimiro	Date	September 10, 2003
Signature	<i>May I. Casimiro</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 375.00

Complete if Known

Application Number	10/015,862
Filing Date	December 12, 2001
First Named Inventor	Michael D. Hooven, et al.
Examiner Name	Rosiland S. Kearney
Group Art Unit	3729
Attorney Docket No.	0320-0018 (HOOV 118)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number: 50-1039
Deposit Account Name: Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☒ Charge to the above identified deposit account ☐ Underpayment of fee

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
15	-20** = 0	X	0.00
1	-3** = 0	X	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 375.00

SUBMITTED BY

Name (Print/Type) Gary W. McFarron, Esq.

Signature

Registration No. (Attorney/Agent)

27,357

Complete (if applicable)

Telephone

(312) 236-8500

Date

September 10, 2003

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RAM Fee History
Query
Revenue Accounting and Management

Name/Number: 10015862

Total Records Found: 8

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
12/19/2001	00000006	<u>1</u>	<u>101</u>	\$740.00	12/12/2001	CK
02/12/2002	00000023	<u>1</u>	<u>101</u>	-\$740.00	12/12/2001	OP
02/12/2002	00000024	<u>1</u>	<u>201</u>	\$370.00	12/12/2001	CK
02/12/2002	00000025	<u>4</u>	<u>704</u>	-\$370.00	12/12/2001	CK
03/26/2002	00000158	<u>1</u>	<u>205</u>	\$65.00	03/22/2002	CK
04/08/2002	00000052	<u>1</u>	<u>581</u>	\$40.00	03/25/2002	CK
03/04/2003	00000031	<u>1</u>	<u>1806</u>	\$180.00	02/27/2003	CK
09/12/2003	00000097	<u>1</u>	<u>2801</u>	\$375.00	09/10/2003	CK

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ENCLOSURES:

- () Application _____
() Specification _____ pages
() Claims _____ pages
() Abstract _____ page(s)
() Drawing Sheets no. _____ (F) _____ (INF) _____
(X) Transmittal Letter
(X) Check \$ 375.00 No: 14429
() Declaration/Oath
() Assignment and Cover Sheet
(X) Information Disclosure Statement
() Response/Amendment
() Extension of Time (In Duplicate)
() Small Entity Status
() Copy of Priority Document
(X) Certificate of Mailing
(X) Post Card Return - Receipt
(X) Fee Transmittal

RE: APPLICATION ATTY/SEC: GWM:mic

File no: 0320-0018

Applicant: M. D. Horven

S.N.: 10/015,862 Filing Date: 12.12.01

Title: TRANSMURAL ABLATION DEVICE
WITH SPRING LOADED JAWS

Due date: _____ Date Sent: 9-10-03

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